

RADIO BASE STATION APPARATUS  
AND DATA TRANSFER CONTROL METHOD

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Technical Field

[0001] The present invention relates to a radio base station apparatus and data transfer control method used in a mobile communication system in which a best effort type transmission scheme is applied to communication between a mobile terminal apparatus and a radio network control apparatus.

Background Art

15 [0002] An example of a technology for performing high-speed data (packet) transfer in a W-CDMA (Wideband - Code Division Multiple Access) mobile communication system is HSDPA (High Speed Downlink Packet Access). HSDPA is applied to a mobile communication system such as that shown in FIG.1, for example, comprising a mobile terminal apparatus (UE), radio base station apparatuses (Node B), radio network control apparatuses (RNC) that control the radio base station apparatuses, and a core network (CN) that performs mobile terminal apparatus location management, call control, and so forth (see Non-patent Document 1, for example). In this kind of mobile communication system, data is transferred on an

interface (Iub) between a radio base station apparatus and radio network control apparatus via a packet transfer apparatus such as a router or a drift radio network control apparatus (DRNC).

5 [0003] In HSDPA, a high-speed downlink from a radio base station apparatus to a mobile terminal apparatus is achieved by applying adaptive modulation, H-ARQ (Hybrid - Automatic Repeat reQuest), high-speed selection of a communication-destination mobile terminal apparatus,  
10 adaptive control of transfer parameters according to the wireless channel status, and so forth, to a wireless channel. Also, HSDPA has a so-called "best effort" type communication mode, which is a transmission scheme whereby one wireless channel is shared by a plurality  
15 of mobile terminal apparatuses. Specifically, a plurality of mobile terminal apparatuses report the downlink channel status to a radio base station apparatus, and the radio base station apparatus schedules the order of data transmission to the plurality of mobile terminal  
20 apparatuses based on the contents of the reports, and performs data transmission accordingly.

[0004] The user plane when HSDPA is applied to a mobile communication system is as shown in FIG.2, for example, comprising a protocol structure in which an HS-DSCH/FP  
25 layer (High Speed - Downlink Shared CHannel Frame Protocol) is provided in radio base station apparatuses and radio network control apparatuses (see Non-patent

Document 2, for example). In this layer, Iub interface flow control is performed (see Non-patent Document 3, for example). With HSDPA, which implements high-speed data transmission in a wireless section between a mobile terminal apparatus and a radio base station apparatus, there is a requirement for improved data transmission throughput in a wired section between a radio base station apparatus and a radio network control apparatus.

[0005] An example of conventional data transfer control for meeting the above requirement is outlined below.

[0006] A radio network control apparatus (hereinafter referred to simply as "control apparatus") temporarily stores data input from the core network in a buffer, generates an FP frame in accordance with predetermined control, and transfers this to a radio base station apparatus (hereinafter referred to simply as "base station"). At this time, an FP frame is transferred at a preset FP frame transfer rate (hereinafter referred to as "FP rate"). An FP frame reaches the base station via a packet transfer apparatus. In the base station, data is extracted from a received FP frame by executing MAC-hs (Medium Access Control used for high speed) processing on that frame. The extracted data is transmitted from the base station to a mobile terminal apparatus (hereinafter referred to simply as "mobile station") via a wireless channel in accordance with scheduling decided based on downlink wireless channel

conditions.

[0007] In the base station, also, the average transmit data rate of a downlink wireless section is measured periodically. Then an upper limit for the FP rate is set  
5 based on the quantity of data stored in the buffer and the average transmit data rate, and the FP rate is set based on this upper limit. A request for FP frame transfer at this FP rate is then made to the control apparatus, and the control apparatus executes FP frame transfer at  
10 the requested FP rate.

[0008] In this control, the FP rate is changed dynamically according to the quantity of stored data and the average transmit data rate. In this way, the frequency with which a buffer in the base station overflows  
15 or becomes empty due to fluctuation of the transmit data rate of a downlink wireless section can be reduced.

Non-patent Document 1: 3GPP, TS25.401 UTRAN overall description, V3.10.0

Non-patent Document 2: 3GPP, TS25.308 High Speed Downlink  
20 Packet Access (HSDPA); Overall description; Stage 2, V5.4.0

Non-patent Document 3: 3GPP, TS25.435 UTRAN Iub interface user plane protocols for Common Transport Channel data streams, V5.5.0

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Disclosure of Invention

Problems to be Solved by the Invention

[0009] However, with the above-described conventional data transfer control, it may not be possible to secure an adequate band in Iub interface wired transmission path for the FP rate set by a base station. In this case, an  
5 FP frame is transferred at an FP rate exceeding the band that can be used in the wired transmission path, resulting in congestion on the wired transmission path and retention of an excessive quantity of FP frames in a buffer within a packet transfer apparatus in the wired transmission  
10 path. Alternatively, buffer overflow may occur, which causes FP frames to be discarded. There is thus a certain limit to improvement of throughput.

[0010] It is an object of the present invention to provide a radio base station apparatus and data transfer control  
15 method that enable throughput to be improved.

#### Means for Solving the Problems

[0011] A radio base station apparatus of the present invention receives data transferred from a radio network  
20 control apparatus via a wired transmission path having a predetermined band and performs wireless transmission of the data to a mobile terminal apparatus via a wireless transmission path, and has a configuration comprising: a measuring section that measures the usage state of the  
25 band; a first upper limit setting section that sets a first upper limit of the transfer rate of the data, associated with the wired transmission path, based on

the measurement result of the measuring section; and a determination section that determines the transfer rate based on the set first upper limit.

[0012] A data transfer control method of the present invention is a data transfer control method that is implemented in a radio base station apparatus that receives data transferred from a radio network control apparatus via a wired transmission path having a predetermined band and performs wireless transmission of the data to a mobile terminal apparatus via a wireless transmission path, and has: a measuring step of measuring the usage state of the band; a first upper limit setting step of setting a first upper limit of the transfer rate of the data, associated with the wired transmission path, based on the measurement result of the measuring step; and a determining step of determining the transfer rate based on the first upper limit set in the first upper limit setting step.

## Advantageous Effect of the Invention

[0013] The present invention enables throughput to be improved.

## Brief Description of Drawings

[0014]

FIG.1 is a block diagram showing an example of the configuration of a mobile communication system to which

HSDPA is applied;

FIG.2 is a drawing showing an example of the user plane protocol configuration when HSDPA is applied to a mobile communication system;

5        FIG.3 is a block diagram showing the configuration of a mobile communication system that includes a radio base station apparatus according to Embodiment 1 of the present invention;

10       FIG.4 is a flowchart showing the operation of a first upper limit setting section in a radio base station apparatus of this embodiment;

FIG.5 is a drawing for explaining a first upper limit change operation in a first upper limit setting section of this embodiment;

15       FIG.6 is a block diagram showing the configuration of a mobile communication system that includes a radio base station apparatus according to Embodiment 2 of the present invention;

20       FIG.7 is a flowchart showing the operation of a first upper limit setting section in a radio base station apparatus of this embodiment; and

FIG.8 is a drawing for explaining a first upper limit change operation in a first upper limit setting section of this embodiment.

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Best Mode for Carrying Out the Invention

[0015] Embodiments of the present invention will now be

described in detail with reference to the accompanying drawings.

[0016] (Embodiment 1)

5           FIG.3 is a block diagram showing the configuration of a mobile communication system that includes a radio base station apparatus according to Embodiment 1 of the present invention. The mobile communication system in FIG.3 has a radio base station apparatus (base station)  
10 10, a packet transfer apparatus 20, and a radio network control apparatus (control apparatus) 30.

[0017] Base station 10 has a receiving section 101, a buffer 102, a scheduling section 103, a wireless transmitting section 104, a data quantity measuring  
15 section 105, an average rate calculation section 106, a queuing delay estimation section 107, a second upper limit setting section 108, a band usage rate measuring section 109, a first upper limit setting section 110, an FP rate determination section 111, a wireless receiving  
20 section 112, and a transmitting section 113.

[0018] Packet transfer apparatus 20 transfers FP frames exchanged over the Iub interface, and has a buffer 121 that temporarily stores an FP frame transferred from base station 10 to control apparatus 30 via a wired transmission  
25 path and sends that FP frame to control apparatus 30, and a buffer 122 that temporarily stores an FP frame transferred from control apparatus 30 to base station



10 via a wired transmission path and sends that FP frame to base station 10.

[0019] Control apparatus 30 has a receiving section 131 that receives an FP frame sent from buffer 121 and, when  
5 data is extracted from that FP frame, sends that data to the core network; an FP rate control section 132 that acquires FP rate information shown in an HS-DSCH capacity allocation message contained in a received FP frame, and controls the FP rate from control apparatus 30 to base  
10 station 10 in accordance with that FP rate information; a buffer 133 that temporarily stores data received from the core network, and sends stored data in accordance with control by FP rate control section 132; and a transmitting section 134 that assembles an FP frame using  
15 data from buffer 133, and transmits that FP frame via a wired transmission path.

[0020] In base station 10, receiving section 101 receives an FP frame sent from buffer 122 and extracts data from that frame. Buffer 102 temporarily stores data from  
20 receiving section 101. Data stored in buffer 102 is input to wireless transmitting section 104 in accordance with scheduling determined by scheduling section 103, and after predetermined wireless processing has been executed by wireless transmitting section 104, is transmitted to  
25 a mobile terminal apparatus (mobile station) via a wireless transmission path.

[0021] Data quantity measuring section 105 measures the

quantity of data stored in buffer 102. The measured quantity of data is reported to queuing delay estimation section 107. Based on information from scheduling section 103, average rate calculation section 106  
5 calculates the average transmission rate of data transmitted by wireless transmission to a mobile station. The calculated average transmission rate may be the actual average transmission rate or may be a virtual average transmission rate. The calculated average transmission  
10 rate is reported to queuing delay estimation section 107.  
[0022] Queuing delay estimation section 107 obtains a queuing delay estimate by estimating the queuing delay based on the measured quantity of data and the calculated average transmission rate.

15 [0023] Based on the obtained queuing delay estimate, second upper limit setting section 108 sets the FP rate upper limit to be requested to control apparatus 30. As the upper limit set by second upper limit setting section 108 is related to the transmission rate of data transmitted  
20 by wireless transmission via a wireless transmission path and the stored quantity thereof, it is an upper limit corresponding to the wireless transmission path. Hereinafter, this upper limit is referred to as the "second upper limit."

25 [0024] Band usage rate measuring section 109 measures the band usage state of a wired transmission path by monitoring the FP frame reception status in receiving

section 101. In this embodiment, a band usage rate is calculated as an index indicating the band usage state.

[0025] To be more specific, wired transmission path throughput is measured periodically, and the band usage rate is calculated by means of Equation (1) below. Using actual measured values of wired transmission path throughput in this way enables calculation of the band usage rate to be performed more accurately. The calculated band usage rate is reported to first upper limit setting section 110.

(Band usage rate) = (actual measured throughput value) / (wired transmission path band) ... Equation (1)

[0026] Based on the calculated band usage rate, first upper limit setting section 110 sets the FP rate upper limit to be requested to control apparatus 30. As the upper limit set by first upper limit setting section 110 is based on the band usage rate of a wired transmission path, it is an upper limit corresponding to the wired transmission path. Hereinafter, this upper limit is referred to as the "first upper limit." The operation of first upper limit setting section 110 will be described later herein.

[0027] Based on the set first upper limit and second upper limit, FP rate determination section 111 determines the FP rate to be requested to control apparatus 30, and generates an HS-DSCH capacity allocation message containing information indicating the determined FP rate.

[0028] To be more specific, FP rate determination section 111 compares the first upper limit and second upper limit, and determines the one of these with the smaller value to be the FP rate to be requested to control apparatus 5 30. As the FP rate is determined based on a first upper limit set as associated with a wired transmission path in this way, the FP rate can be prevented from rising to an undesirable level. Furthermore, since the FP rate is determined based on a second upper limit set as associated with a wireless transmission path, the FP rate 10 can be prevented with greater certainty from rising to an undesirable level.

[0029] Wireless receiving section 112 receives data transmitted by wireless transmission from a mobile station. Transmitting section 113 generates an FP frame 15 using an HS-DSCH capacity allocation message from FP rate determination section 111, and transmits this FP frame to buffer 121. Also, when data is input from wireless receiving section 112, transmitting section 113 generates an FP frame using that data and transmits that frame to 20 buffer 121.

[0030] Receiving section 101 and transmitting section 113 are a section that executes HS-DSCH/FP layer processing. Buffer 102, scheduling section 103, data 25 quantity measuring section 105, average rate calculation section 106, queuing delay estimation section 107, second upper limit setting section 108, band usage rate measuring

section 109, first upper limit setting section 110, and FP rate determination section 111 are a section that executes MAC-hs layer processing.

[0031] The operation of first upper limit setting section 110 in base station 10 with the above configuration will now be described. FIG.4 is a flowchart for explaining an FP rate upper limit change operation in first upper limit setting section 110.

[0032] First, in step ST1000, band usage rate UR is acquired from band usage rate measuring section 109. Then, in step ST1010, band usage rate UR is compared with a threshold value Th1 stored beforehand. If the result of this comparison is that band usage rate UR is greater than threshold value Th1 (ST1010: YES), the FP rate first upper limit is lowered in step ST1020. On the other hand, if band usage rate UR is less than or equal to threshold value Th1 (ST1010: NO), the processing flow proceeds to step ST1030.

[0033] In step ST1030, band usage rate UR is compared with another threshold value Th2 stored beforehand ( $Th1 < Th2$ ). If the result of this comparison is that band usage rate UR is less than or equal to threshold value Th2 (ST1030: YES), the FP rate first upper limit is raised in step ST1040. On the other hand, if band usage rate UR is greater than threshold value Th2 (ST1030: NO), the FP rate first upper limit is not changed. This series of processing steps is executed in a cycle having a

predetermined duration. Hereinafter, this cycle is referred to as the "comparison cycle." The comparison cycle should preferably be synchronized with the band usage rate UR calculation cycle.

5 [0034] As the first upper limit is lowered when band usage rate UR is greater than threshold value Th1 and raised when band usage rate UR is smaller than threshold value Th2 in this way, congestion on a wired transmission path can be prevented, and the wired transmission path band  
10 can be used efficiently. In this embodiment, band usage rate UR is compared with threshold value Th1 before being compared with threshold value Th2, but the order of comparison is not limited to this. The same kind of operational effect as described above can be achieved  
15 if band usage rate UR is compared with threshold value Th2 before being compared with threshold value Th1, or if band usage rate UR is compared with threshold values Th1 and Th2 at the same time.

[0035] Next, an example of the operation of first upper  
20 limit setting section 110 will be described, referring to FIG.5. In the example shown in FIG.5, band usage rate UR exceeds threshold value Th1 in period A from time  $t_2$  to time  $t_5$ . In this case, it can be recognized that there is a possibility of congestion occurring on the wired  
25 transmission path if the current value of the FP rate is maintained. Therefore, in period A, the FP rate first upper limit is lowered so that it becomes possible to

lower the FP rate.

[0036] In period B from time  $t_6$  to time  $t_8$ , band usage rate UR is lower than threshold value Th2. In this case, it can be recognized that there is a margin in the wired transmission path band. Therefore, in period B, the FP rate first upper limit is raised so that it becomes possible to raise the FP rate.

[0037] In the example shown in FIG.5, band usage rate UR is greater than or equal to threshold value Th2 and less than or equal to threshold value Th1 in time periods other than those mentioned above. In these cases the FP rate first upper limit is not changed, making it possible to maintain the current value of the FP rate.

[0038] Thus, according to this embodiment, since the FP rate upper limit is set, associated with a wired transmission path, based on the result of measuring the usage state of the wired transmission path band, the FP rate can be set so that congestion does not occur on the wired transmission path, excessive retention or discarding of FP frames in packet transfer apparatus 20, for example, can be prevented, and throughput can be improved.

[0039] (Embodiment 2)

FIG.6 is a block diagram showing the configuration of a mobile communication system that includes a radio base station apparatus (base station) according to

Embodiment 2 of the present invention. The apparatuses composing the mobile communication system described in this embodiment have the same basic configurations as described in Embodiment 1. Therefore, apparatuses and configuration elements identical to or corresponding to those described in Embodiment 1 are assigned the same reference codes, and detailed descriptions thereof are omitted. The only difference between this embodiment and Embodiment 1 is that base station 10 has a first upper limit setting section 201 instead of first upper limit setting section 110 described in Embodiment 1.

[0040] First upper limit setting section 201 sets an FP rate upper limit to be requested to control apparatus 30 based on a calculated band usage rate. As the upper limit set by first upper limit setting section 201 is based on the band usage rate of a wired transmission path, it is an upper limit corresponding to the wireless transmission path. Hereinafter, this upper limit is referred to as the "first upper limit," as in Embodiment 1.

[0041] The operation of first upper limit setting section 201 will now be described. FIG.7 is a flowchart for explaining an FP rate upper limit change operation in first upper limit setting section 201.

[0042] First, in step ST2000, it is determined whether or not band usage rate UR is continuously 100% in a down determination period having a predetermined duration.



In other words, in the down determination period, band usage rate UR is monitored by being compared with a threshold value of "100." Here, the duration of the down determination period is  $\alpha$  times the comparison period  
5 (where  $\alpha$  is a natural number).

[0043] Simultaneously with step ST2000, in step ST2010 it is determined whether or not band usage rate UR is continuously less than 100% in an up determination period having a predetermined duration. In other words, in the  
10 up determination period, band usage rate UR is monitored by being compared with a threshold value of "100." Here, the duration of the up determination period is  $\beta$  times the comparison period (where  $\beta$  is a natural number greater than  $\alpha$ ).

15 [0044] If an affirmative result is obtained from the determination in step ST2000 (ST2000:YES), the FP rate first upper limit is lowered in step ST2020. On the other hand, if a negative result is obtained from the determination in step ST2000 (ST2000:NO), the FP rate  
20 first upper limit is not changed.

[0045] Also, if an affirmative result is obtained from the determination in step ST2010 (ST2010:YES), the FP rate first upper limit is raised in step ST2030. On the other hand, if a negative result is obtained from the  
25 determination in step ST2010 (ST2010:NO), the FP rate first upper limit is not changed. This series of processing steps is executed in a cycle (comparison cycle)

having a predetermined duration. The comparison cycle should preferably be synchronized with the band usage rate UR calculation cycle.

[0046] As the first upper limit is changed in this way  
5 based on the result of monitoring band usage rate UR over a down determination period or up determination period longer than the comparison cycle, transfer rate setting can be performed in a stable fashion. Also, as the duration of the down determination period is shorter than  
10 the duration of the up determination period, it is possible for a change of the first upper limit associated with a rise of band usage rate UR to be performed with better tracking of fluctuation of band usage rate UR than a change of the first upper limit associated with a fall of band  
15 usage rate UR.

[0047] In this embodiment, the processing in step ST2000 and the processing in step ST2010 are performed simultaneously, but the order of processing is not limited to this. The same kind of operational effect as described  
20 above can be achieved if the processing in step ST2000 is executed before the processing in step ST2010, or if the processing in step ST2010 is executed before the processing in step ST2000.

[0048] Next, an example of the operation of first upper  
25 limit setting section 210 will be described, referring to FIG.8. In the example shown in FIG.8,  $\alpha=2$  and  $\beta=3$ .

[0049] In an up determination period from time  $t_1$  to time

$t_4$ , band usage rate UR is not continuously less than 100%. However, in a down determination period from time  $t_2$  to time  $t_4$  (period C in the drawing), band usage rate UR is continuously 100%. In this case, it can be recognized  
5 that there is a possibility of congestion having already occurred on the wired transmission path. Therefore, at time  $t_4$  the FP rate first upper limit is lowered so that it becomes possible to lower the FP rate.

[0050] In a down determination period from time  $t_7$  to  
10 time  $t_9$ , band usage rate UR is not continuously 100%. However, in an up determination period from time  $t_6$  to time  $t_9$  (period D in the drawing), band usage rate UR is continuously less than 100%. In this case, it can be recognized that there is a margin in the wired transmission  
15 path band. Therefore, at time  $t_9$  the FP rate first upper limit is raised so that it becomes possible to raise the FP rate.

[0051] In the example shown in FIG.8, the FP rate first upper limit is not changed at times other than those  
20 mentioned above.

[0052] Thus, according to this embodiment, since the FP rate upper limit is set, associated with a wired transmission path, based on the result of measuring the usage state of the wired transmission path band, as in  
25 Embodiment 1, the FP rate can be set so that congestion does not occur on the wired transmission path, excessive retention or discarding of FP frames in packet transfer

apparatus 20, for example, can be prevented, and throughput can be improved.

[0053] The present application is based on Japanese Patent Application No.2004-099341 filed on March 30, 2004,  
5 the entire content of which is expressly incorporated herein by reference.

#### Industrial Applicability

[0054] A radio base station apparatus and data transfer  
10 control method of the present invention have an effect of improving throughput, and can be used to advantage in a mobile communication system in which a best effort type transmission scheme is applied to communication between a mobile terminal apparatus and a radio network  
15 control apparatus.